#### Attorney Docket No. CCS-202-CON PATENT APPLICATION F First Inventor Rainer Naeff TRANSMITTAL **ERYTHROPOIETIN LIPOSOMAL DISPERSION** Title (only for new nonprovisional applications under 37 CFR Express Mail Label No. EV 065841061 US APPLICATION ELEMENTS ADDRESS TO: Mail Stop Patent Application Commissioner for Patents See MPEP Chapter 600 concerning utility patent application P.O. Box 1450 Alexandria, VA 22313-1450 1. Tee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 3. Specification [Total Pages 19] 8. Nucleotide and/or Amino Acid Sequence (Preferred arrangement set forth below) Submission (if applicable, all necessary) - Descriptive Title of the Invention a. Computer Readable Form (CRF) - Cross Reference to Related Applications b. Specification Sequence Listing on: - Statement Regarding Fed sponsored R&D i. CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a ii. 🗌 paper computer program listing appendix c. Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description 10. 37 CFR 3.73(b) Statement Power of Attorney - Claim(s) (when there is an assignee) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. Information Disclosure Statement 4. Drawing(s)(35 USC 113) [Total Sheets (IDS)/PTO-1449 ☐ Copies of IDS Citations [Total Pages 4] 13. Preliminary Amendment (6 Pages) 5. Oath or Declaration 14. Return Receipt Postcard (MPEP 503) a. Newly executed (original or copy) (Should be specifically itemized) b. Copy from a prior application (37 CFR 1.63(d)) 15. Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) i. DELETION OF INVENTOR(S) 16. Request and Certifications under 35 U.S.C. 122 Signed statement attached deleting (b)(2)(B)(i). Applicant must attach form inventor(s) named in the prior application, PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). 17. ☐ Other: EXPRESS MAIL CERTIFICATE #EV065841061US 6. Application Data Sheet. See 37 CFR 1.76 18. M If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: 09/252,563, filed 02/18/1999. Prior application information: Examiner Kishore, Gollamudi S. Group Art Unit: 1615 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or Correspondence Address below ☐ Customer Number or Bar Code Label 000027777 Philip S. Johnson, Esq. Name: Address: Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 USA 20. TELEPHONE CONTACT Please direct all telephone calls or telefaxes to Joseph S. Kentoffio at: Telephone: (732) 524-3711 Fax: (732) 524-2808 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED NAME Joseph S. Kentoffio Reg. No. 33,189 **SIGNATURE** DATE September 10, 2003

# **FEE TRANSMITTAL**

|   | Complete if Known      |                       |  |
|---|------------------------|-----------------------|--|
| 1 | Application Number     |                       |  |
| i | Filing Date            |                       |  |
|   | First Named Inventor   | Rainer Naeff          |  |
|   | Group Art Unit         | 1615                  |  |
|   | Examiner Name          | Kishore, Gollamudi S. |  |
|   | Attorney Docket Number | CCS-202               |  |

# **FEE CALCULATION**

### **CLAIMS AS FILED**

| (1)                             | (2)          | (3)             | (4)       | (5)                   |
|---------------------------------|--------------|-----------------|-----------|-----------------------|
| FOR:                            | NUMBER FILED | NUMBER<br>EXTRA | RATE      | BASIC FEE<br>\$750.00 |
| TOTAL CLAIMS                    | 13 - 20 =    | 0               | x 18.00   | \$ 0.00               |
| INDEPENDENT<br>CLAIMS           | 1 - 3 =      | 0               | x 84.00   | \$ 0.00               |
| MULTIPLE<br>DEPENDENT<br>CLAIMS |              | N/A             | \$280.00  |                       |
|                                 |              | TOTAL FEES      | \$ 750.00 |                       |

# **METHOD OF PAYMENT**

- Please charge Deposit Account No. 10-0750/CCS-202/JSK in the amount of \$750.00. Three copies of this sheet are enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/CCS-202/JSK. Three copies of this sheet are enclosed.

| SUBMITTED B  | Complete (if applicable) |                  |                                |
|--------------|--------------------------|------------------|--------------------------------|
| Typed or     |                          |                  |                                |
| Printed Name | Joseph S. Kentoffio      |                  | Reg. No. 33,189                |
| Signature    | Jul O. Court             | Date: 09/10/2003 | Deposit Account<br>No. 10-0750 |

DOCKET NO. CCS-202-CON

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ranier Naeff, Sandro Delmenico, André Wetter and

Frank-Ulrich Floether

For : ERYTHROPOIETIN LIPOSOMAL DISPERSION

## Express Mail Certificate

"Express Mail" mailing number: EV 065841061 US

Date of Deposit:

September 10, 2003

I hereby certify that this continuation application of former application Serial No. 09/252,563, filed on February 18, 1999, including specification pages, claims, abstract, and copy of Declaration and Power of Attorney, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)